

## Transworld Securities Limited

### POLICY FOR CLIENT CODE MODIFICATION (Applicable for all exchange/segment)

#### On Line Modification:

- Genuine punching errors in client codes while placing orders shall be allowed to be modified.
- Client code modification facilities shall be disabled from all the dealer terminals.
- Client code modification shall be carried out only from the admin terminals located at H.O. 22/44, West Patel Nagar, New Delhi 110008 Surveillance Desk by Mr.Junaid Akhtar and/or Mr.Neeraj Sharma under the intimation to any of the directors Harjeev Singh Chadha and/or Harbeer Singh Chadha
- While carrying out code modification, genuineness of the punching errors shall be verified against corroborative circumstantial evidences like similarities of codes, trades in immediately preceding codes, square off trades without holdings or position or any such other evidences shall have to be taken into account.
- Complete records of daily online trade modifications shall be maintained in soft form.

#### Off Line back office modification:

- No Trades/ Codes will be changed in the back office.

For Transworld Securities Ltd

  
Director/ Auth. Signatory



Corporate Member : NSE / BSE / DSE / OTC Depository : NSDL DPID - IN301565

**YOUR ONE STOP SHARE SHOP !**